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JC20 Rec'd PCT/PTO 2 2 SEP 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Yoshiaki KOMMA, et al.

Filed:

Herewith

Title:

OPTICAL PICKUP DRIVING APPARATUS AND OPTICAL PICKUP

BEAM SPOT POSITIONING METHOD (AS AMENDED)

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned Confirmation No.: To Be Assigned

Docket No.:

MTS-3563US

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO SB/08(a) and PTO SB/08(b) form submitted herewith. A copy of the references listed on the PTO SB/08(a) and PTO SB/08(b) are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- JP 10-055602 A 1)
- JP 10-188294 A 2)
- JP 2001-344768 A 3)
- JP 2001-325734 A 4)
- JP 09-161284 5)

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References 1) - 5) include English language abstracts.

Reference 5) includes a complete English translation.

Reference 5 also includes a "concise explanation" under 37 CFR \S 1.98(a)(3) as set forth in the specification.

References 1) - 4) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424

Attorney for Applicants

DNC/ds

Enclosures: PTO Form SB/08(a) and (b)

(5) References

International Search Report

Dated: September 22, 2005

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

EXPRESS MAIL

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September 22, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen (Libb)

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JC20 Rec'd PCT/PTO 2 2 SEP 2005 PTO/SB/08a (07-05) (TMB 07/2005)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known				
Application Number	To Be Assence			
Filing Date	Herewith 550539			
First Named Inventor	Yoshiaki KOMMA et al.			
Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attorney Docket No.	MTS-3563US			

	U.S. PATENT DOCUMENTS				
		Document Number			Pages, Columns, Lines, Where
	Cite No.1			Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document	Dublination Date	Name of Patentee or	Passages or Relevant Figures Appear HIBA CORP. HIBA CORP. SUSHITA CTRIC JSTRIAL CO., TSU TEN LTD. SUSHITA CTRIC IND CO	
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Document		Τ°
		JP 10-55602 A (w/English Abstract)	02-24-1998	TOSHIBA CORP.		
	;	JP 10-188294 A (w/English Abstract)	07-21-1998	TOSHIBA CORP.		
		JP 2001-344768 A (w/English Abstract)	12-14-2001	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		
	•	JP 2001-325734 A (w/English Abstract)	11-22-2001	FUJITSU TEN LTD.		
		JP 09-161284 (w/English Abstract) (w/English Translation)	06-20-1997	MATSUSHITA ELECTRIC IND CO LTD.		
	1					

Examiner	Date	
Signature	Considered	

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08b (07-05) (TMB 07/2005)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

	Complete if Known	
Application Number	To be Asigned FOF ZO	
Filing Date	Herewith 550539	:
First Named Inventor	Yoshiaki KOMMA et al.	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket No.	MTS-3563US	

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T2	
		International Search Report for application no. PCT/JP2004/014673 dated November 30, 2004		
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